

2161#6

WIL-102US



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William T. Wilkinson : Art Unit: 2161
Serial No.: 10/007,205 : Examiner: Unknown
Filed: November 9, 2001 :
For: INTELLECTUAL PROPERTY :
COMMERCIALIZATION
METHOD

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The references listed were cited by a foreign patent office in a counterpart foreign application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.

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- 2 -

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This information disclosure statement is being filed more than three months after the filing date. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or certification is required. 37 C.F.R. § 197(b).

Respectfully submitted,

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Rex A. Donnelly, Reg. No. 41,712
Attorneys for Applicant

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Enclosures:

PTO Form 1449
Copy of 14 References

Dated: April 19, 2002

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The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: April 19, 2002

Ruth Curran

FORM PTO-1449 U.S. DEPARTMENT OF
COMMERCE
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Information Disclosure
Statement by Applicant

(Use several sheets if necessary)

ATTY. DOCKET NO.

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APPLICANT

William T. Wilkinson

FILING DATE

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U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	5,991,751	11/23/99	Rivette et al.	707	1	
	6,154,725	11/28/00	Donner	705	1	
	6,263,314	07/17/01	Donner	705	1	

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	1)	Book review for <i>Valuing Intangible Assets</i> , by Robert F. Reilly et al. (McGraw-Hill, 1998) as posted on amazon.com
	2)	"Valuing Technology in New Applications," by Mike Hruby et al.; <i>les Nouvelles-Journal of the Licensing Executives Society</i> as posted on technology-marketing.com/Publications/les.html
	3)	"Intellectual property: it's not just a fashion, it's the future" by Sharyn Ch'ang, (1998) 36 (5) LSJ 56
	4)	"Intellectual Property Valuation"; micronomics.com
	5)	"Intellectual Property Due Diligence for the Buyer or Seller of a Business", reinhartlaw.com/Articles
	6)	"Intellectual Property Due Diligence in Corporate Transactions: Risk Assessment, Investment, and Management"; West Group News Release (July 22, 1998);
	7)	"Checklist for Due Diligence in Intellectual Property Transactions"; Mary Ann Tucker; michbar.org
	8)	"Intellectual Property Assets as Sources of Potential Corporate Profits"; Daniel R. Bereskin.; (Dec. 1993)
	9)	"The Right Hoops, Carrying Out Effective IP Due Diligence," Richard Raysman, <i>The Intellectual Property Strategist</i> , (Dec. 1996)
	10)	<i>Assessing a Company's Most Valuable Assets: Conducting an Intellectual Property Audit</i> ; Baila H. Celedonia; cll.com/articles
	11)	Book summary of <i>Intellectual Property Rights and Their Evaluation</i> ; Peter J. Groves (1997) as posted on woodhead-publishing.com/finance/banking/new/intellect.html

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Examiner

Date Considered

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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.